

**PLANNED REPORTS TO AUDIT AND GOVERNANCE COMMITTEE 2018 - 2019**

|    | <b>Report</b>  | <b>Committee Date</b> | <b>Report Of</b>                  | <b>Comments</b>       |
|----|--|-----------------------|-----------------------------------|-----------------------|
| 1  | Role of the Audit Committee  | <b>June</b>           | Grant Thornton                    | Presentation/training |
| 2  | Audit and Governance Committee Update  | <b>June</b>           | Grant Thornton                    |                       |
| 3  | Fee Letter   | <b>June</b>           | Grant Thornton                    |                       |
| 4  | Review of the Constitution and Scheme of Delegation for Officers                   | <b>June</b>           | Head of Audit & Governance        |                       |
| 5  | RIPA Quarterly Report  | <b>June</b>           | Assistant Director - Partnerships |                       |
| 6  | Members/Standards x 2  | <b>June</b>           | Head of Audit & Governance        |                       |
| 7  | Internal Audit Customer Satisfaction Survey  | <b>June</b>           | Head of Audit & Governance        |                       |
| 8  | Internal Audit Annual and Quarterly Update   | <b>June</b>           | Head of Audit & Governance        |                       |
| 9  | Public Sector Internal Audit Standards/Quality Assurance and Improvement Programme | <b>June</b>           | Head of Audit & Governance        |                       |
| 10 | Financial Guidance   | <b>June</b>           | Head of Audit & Governance        |                       |

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| 11 | Annual Governance Statement and Code of Corporate Governance  | <b>June</b>    | Head of Audit & Governance        |  |
| 1  | Audit Findings Report   | <b>July</b>    | Grant Thornton                    |  |
| 2  | Management Representation Letter                              | <b>July</b>    | Grant Thornton                    |  |
| 3  | Annual Statement of Accounts                                  | <b>July</b>    | Executive Director Finance        |  |
| 4  | RIPA Quarterly Report   | <b>July</b>    | Assistant Director - Partnerships |  |
| 5  | Risk Management Quarterly Update                              | <b>July</b>    | Assistant Director – Finance      |  |
| 6  | Counter and Corruption Fraud Update                           | <b>July</b>    | Head of Audit & Governance        |  |
| 7  | Internal Audit Quarterly Update                               | <b>July</b>    | Head of Audit & Governance        |  |
| 8  | Internal Audit Charter  | <b>July</b>    | Head of Audit & Governance        |  |
| 1  | Local Government Ombudsman’s Annual Review and Report 2017/18 | <b>October</b> | Assistant Director - People       |  |
| 2  | RIPA Quarterly Update   | <b>October</b> | Assistant Director - Partnerships |  |
| 3  | Internal Audit Quarterly Update                               | <b>October</b> | Head of Audit & Governance        |  |
| 4  | Risk Management Quarterly Update                              | <b>October</b> | Assistant Director – Finance      |  |

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| 5 | Annual Treasury Outturn            | <b>October</b>  | Executive Director Finance           |  |
| 6 | Counter Fraud Update               | <b>October</b>  | Head of Audit & Governance           |  |
| 1 | Audit Report on Certification Work | <b>February</b> | Grant Thornton                       |  |
| 2 | Audit Progress Report              | <b>February</b> | Grant Thornton                       |  |
| 3 | Annual Audit Letter                | <b>February</b> | Grant Thornton                       |  |
| 4 | RIPA Quarterly Report              | <b>February</b> | Assistant Director –<br>Partnerships |  |
| 5 | Internal Audit Quarterly Update    | <b>February</b> | Head of Audit & Governance           |  |
| 6 | Risk Management Quarterly Update   | <b>February</b> | Assistant Director – Finance         |  |
| 7 | Counter Fraud Update               | <b>February</b> | Head of Audit & Governance           |  |
| 8 | Anti-Money Laundering Policy       | <b>February</b> | Head of Audit & Governance           |  |
| 1 | Draft Audit Plan                   | <b>March</b>    | Grant Thornton                       |  |
| 2 | Draft Certification Work Plan      | <b>March</b>    | Grant Thornton                       |  |
| 3 | Audit Committee Update             | <b>March</b>    | Grant Thornton                       |  |
| 4 | Auditing Standards                 | <b>March</b>    | Grant Thornton                       |  |

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| 5  | Informing the Audit Risk Assessment   | <b>March</b> | Grant Thornton                |  |
| 6  | Review of the Treasury Management Strategy Statement, Minimum Revenue Provision Policy Statement and Annual Investment Statement and the Treasury Management Strategy Statement and Annual Investment Strategy Mid-Year Review Report | <b>March</b> | Executive Director Finance    |  |
| 7  | Final Accounts – Action Plan  | <b>March</b> | Assistant Director of Finance |  |
| 8  | Internal Audit Charter and Audit Plan   | <b>March</b> | Head of Audit & Governance    |  |
| 9  | Audit and Governance Committee Self-Assessment  | <b>March</b> | Head of Audit & Governance    |  |
| 10 | Review of Financial Guidance  | <b>March</b> | Head of Audit & Governance    |  |

**The Portfolio Holder for Assets and Finance**